

University of California, Santa Barbara

Gevirtz Graduate School of Education

Research Office

2009 Fiscal Year End Report

Submitted to:

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I. INTRODUCTION

The Gevirtz Graduate School of Education Research Office (GGSE RO) provides support to the GGSE community on proposal preparation, post-award administration, human subjects, and research development. The full-time office staff includes a Contracts & Grants Analyst and a Contracts & Grants Assistant. In addition, the Faculty Liaison contributes 10% of her full-time researcher appointment to the GGSE RO.

This Year End Report reflects the accomplishments of the GGSE RO during the 2009 fiscal year, as well as the GGSE RO staff's goals for the upcoming year. Specifically, we highlight initiatives and goals under seven topic areas: proposal preparation, awards, post-award administration, human subjects, research development, general office functions, and transition to the Gevirtz Research Institute (GRI).

II. PROPOSAL PREPARATION

The GGSE RO processed 71 proposals in 2009 totaling \$21,077,549 (see Table 1)—an increase from the previous year in both number of proposals submitted and dollars requested. Thirty-four individuals submitted proposals as Lead Principal Investigators through the GGSE RO including 25 faculty members, 4 graduate students, 4 professional researchers, and 1 Academic Coordinator (see Appendix A, Table A.1). Proposals were submitted to federal, state, and UC sponsors, as well as foundations, local government entities, professional organizations, and other educational institutions (see Appendix A, Table A.2).

Table 1: Overview of Proposal Submissions by the GGSE RO, 2005-2009

Fiscal Year	Number of Proposals Submitted	Total Value of Proposals Submitted
2005	69	\$32,617,655
2006	69	\$16,846,265
2007	66	\$16,019,412
2008	63	\$8,534,989
2009	71	\$21,077,549
5-Year Total	338	\$95,095,870

Sources: UCSB OR Orbit reports (2009 data). 2008 GGSE RO Fiscal Year End Report (2008 data). 2006-2007 GGSE RO Year End Summary (2005-2007 data).

Note: Discrepancies between these data and UCSB reports are a result of differences in the timing of calculation.

GOALS

i. Create Electronic Folder of Instructions for Federal Applications

An electronic folder of instructions will be developed to guide PIs in their preparation of narrative documents required by Federal applications. The folder will be stored in the research shared drive and provided to PIs as appropriate. Previously, our procedure had been to cut and paste these instructions from federal application guides as requested. Developing a folder of previously prepared documents will create easily accessible information to provide to PIs. It is anticipated this will save time and ease the proposal preparation process for PIs.

ii. Create Stock Text and Sample Documents

The RO will coordinate the development of stock text that can be used by PIs in their proposal narratives and budget justifications. In addition, we will make available sample documents such as statements of work and budget narratives that PIs can use as a guide in developing their own proposal narratives. We plan to post these resources on the GRI website.

iii. Create Proposal Processing Flow Chart

A proposal processing flow chart will be developed outlining the path that a proposal follows from the time it is submitted to the GGSE RO for review to its submission to the sponsor. We hope that by disseminating this information we will create a greater awareness of all of the work that takes place ‘behind the scenes’ by research administration before a proposal is sent off campus. We hope this will increase the proportion of on-time proposal submissions by PIs to the GGSE RO.

iv. Develop Skills Matrix of GGSE Faculty and Researchers

We will develop a “skills matrix” of GGSE faculty and researchers. This will be posted on the website and will serve as a resource to individuals when they are looking for collaborators with specific skills and specializations (e.g., quantitative methods, qualitative methods, technology, etc.).

III. AWARDS

The GGSE RO processed 37 new awards in 2009 totaling \$2,877,450 (see Table 2). The value of awards received in 2009 is a five-year high for the RO. Eighteen PIs had extramural awards processed through the GGSE RO, including 15 faculty members, 2 professional researchers, and 1 graduate student (see Appendix A, Table A.3). Sponsors included federal and UC sources, as well as foundations, local government entities, and other educational institutions (see Appendix A, Table A.4).

Table 2: Overview of Awards Received by the GGSE RO, 2005-2009

Fiscal Year	Number of Awards Received	Value of Awards Received
2005	35	\$2,203,903
2006	32	\$2,329,099
2007	33	\$2,419,324
2008	39	\$2,038,130
2009	37	\$2,877,450
5-Year Total	176	\$11,867,906

Sources: UCSB OR Orbit reports (2009 data). 2008 GGSE RO Fiscal Year End Report (2008 data). 2006-2007 GGSE RO Year End Summary (2005-2007 data).

Notes: Discrepancies between these data and UCSB reports are a result of differences in the timing of calculation. Awards are not necessarily reflective of proposals submitted during the same fiscal year. While the dollar amount of proposals represents the entire amount requested, the dollar amounts of awards represent only the funds that were received during that fiscal year.

IV. POST-AWARD ADMINISTRATION

Throughout 2009, the GGSE RO administered 66 contracts and grants—up from 62 in 2008. Ongoing award administration activities for these awards include, but are not limited to, monitoring of awards' financial progress, expense processing, award modifications, acting as liaison between PIs and OR, set up of new awards, subawards' set up and monitoring, and report reminders.

GOALS

i. **Create Monitoring System for GSR Appointments**

We will develop a system for coordinating and monitoring all GSR appointments on awards managed by the RO. This will involve working with the Employment Analyst to set up new GSR appointments and meeting quarterly to monitor existing employment appointments.

ii. **Implement ITG Notification of New Awards**

As part of the award set up process, we will notify ITG via email each time an award is received that includes direct costs for CNT services.

iii. **Distribute Electronic Recaps**

As a result of feedback received from the RO survey, we will begin dispersing recaps in electronic format every two months, or as requested by individual faculty members.

V. HUMAN SUBJECTS

During the 2009 fiscal year, 100 Human Subjects protocols were submitted via the GGSE RO using the paper forms. As of result of the work of the UCSB Human Subjects Focus Group, the submission process changed to an online submission portal—ORahs (Office of Research Application for use of Human Subjects)—on May 18, 2009. The online system sends automatic reminders regarding upcoming protocol expiration so the GGSE RO will no longer provide these reminders.

The GGSE RO will continue to retain protocols that were approved in hard copy for 3 years after expiration or 7 years from the date the youngest subject turns 18, as per the UCOP Contracts & Grants Retention Policy. Signature pages for protocols approved via ORahs will be retained following policy.

GOALS

i. **Facilitate the Transition to the New Online Human Subjects Portal**

As appropriate, the GGSE RO will assist investigators in navigating the online human subjects submission portal which launched in May 2009. This submission portal should alleviate communication issues as well as delays in receiving notice of approval as investigators and GGSE RO staff will have access to view the status of protocols online and will be sent automatic e-mail reminders at various stages in the lifecycle of a protocol.

ii. **Assist with the Implementation of a Process to Ensure that New Record Retention Requirements are Met**

Along with the release of the ORahs online system, new language regarding retention of informed consent documents was added to the Department certification section of the protocol. A process to ensure that these documents are maintained is being established in collaboration with the Dean.

VI. RESEARCH DEVELOPMENT

The GGSE RO has continued to support and enhance the grantsmanship initiatives available to GGSE faculty and researchers. Of particular note this year was the first success resulting from a “potential collaboration meeting”—the award of a \$900,000 NSF funded Robert Noyce Scholarship Program to support CalTeach Santa Barbara. The RO will continue to offer these collaboration opportunities, as well as other grantsmanship initiatives, as relevant.

INITIATIVES

i. **Conversations on Research in Education (CORE) Lunch Series**

The CORE Lunch Series provides the GGSE community with an opportunity to discuss research ideas and questions. This has proven to be a successful initiative, with approximately 10-15 participants each month. This year the series included:

- June: Dean Conoley, Proposal Ideas for the Gates Foundation
- July: Brenda Johnson and Sherry Dedecker, Collaborations with the UCSB Library
- August: Karen Nylund-Gibson, Mixture Modeling
- September: John Yun, Match Quality
- October: John Mohr, UCSB Social Science Survey Center
- November: GGSE Faculty Panel, Applying for Research Grants at UCSB
- January: Richard Duran and Jin Sook Lee, Academic English and English Language Learners
- February: Kathy Graham and Chris Sneathen, Office of Research’s Application for the use of Human Subjects (ORahs)
- March: Faculty Panel, Successful Grant Proposals: Insights from Reviewers
- May: Debra Lieberman, Using Digital Games to Improve Learning and Health
- June: Dean Conoley, Gevirtz Research Institute: Optimizing Research Support

ii. **Writing Workshop**

The GGSE RO offered a successful 2-day writing workshop for GGSE faculty, researchers, and students (see Appendix B for the evaluation summary). Dr. Karen Lunsford, Assistant Professor of Writing and a GGSE affiliate, conducted the sessions attended by approximately 15 members of the GGSE community.

iii. **GGSE RO Survey**

The GGSE RO conducted an online survey of faculty and researchers to assess their needs regarding grantsmanship and the services offered by the GGSE RO (see Appendix C for detailed survey results). Overall, the results (n=40) were predominantly positive, with almost 65% responding as very satisfied or satisfied by the level of service currently provided by the RO. Detailed results have been reviewed by the RO staff, Dean Conoley, Arlis Markel, and Jane Ready, and will be used to improve services of the RO as it transitions to the Gevirtz Research Institute. Several goals identified as a result of the survey are discussed below.

iv. **Participation in Campus-wide Research Development Meetings**

The GGSE RO is participating in campus-wide research development (RD) meetings with other individuals and entities that offer RD services at UCSB. Through these meetings we hope to develop fruitful partnerships across campus to share resources, co-sponsor grantsmanship-related events, and encourage faculty collaborations. In particular, we will work with other RD groups to bring program officers to campus for visits/presentations and attempt to establish a “clearinghouse” for opportunities that faculty/researchers may decline, but that others may find of interest. The GRI will host the next meeting of this group in October 2009.

GOALS

i. **Facilitate Nomination of Reviewers**

In 2008 we collected information from faculty and researchers who have served on review panels, as well as from those interested in serving on such panels. Although we were not able to go further with this initiative in 2009, in the coming year we will use this information in efforts to increase GGSE nominations to serve on national review panels.

ii. **Mentoring of Junior Faculty by Senior Faculty**

As a result of feedback on the RO Survey, we will develop mentoring opportunities for junior faculty/researchers by senior faculty. These efforts will be developed with further consultation of GGSE faculty and researchers to identify practical and beneficial initiatives based on the needs of junior faculty and the availability of their senior colleagues.

iii. **Improve Potential Collaboration Meetings**

Responses to the RO Survey suggest a need to improve potential collaboration meetings. While respondents generally value these meetings, they would like to see more individualized requests made to faculty/researchers to participate in these meetings, identified and confirmed leaders for the meetings, and clearer meeting agendas.

iv. **Improve the Successful Proposal Library**

Survey respondents indicated the need to improve the successful proposal library. Currently the RO only includes, with PI permission, proposals of current awards of GGSE faculty and researchers. We will work with UCSB’s Office of Research to determine the most effective way to collaborate with them on this effort to avoid duplication of services.

v. **Collaborate with the GGSE Development Office**

Another interest of survey respondents is to receive more support from the GGSE Development Office to fund their research. As a result, the RO will work to develop a clearer collaboration with our Development Office.

VII. GENERAL OFFICE FUNCTIONS

In addition to the functions described in the previous sections, the GGSE RO also maintains general office functions including providing backup for other Dean's Suite staff, monitoring telephone service and bills funded by the GGSE RO, maintaining office equipment, ordering supplies, and maintaining the GGSE RO website.

INITIATIVE

i. **GGSE RO Staff Professional Development**

During the year, the GGSE RO Assistant participated in the following professional development activities:

- Completed the Academic Personnel certificate program series
- Attended the National Council on University Research Administrators (NCURA) Regional Meeting

GOALS

i. **Reorganize the Research Shared Drive**

The research shared drive has become cluttered and disorganized as a result of multiple staffing and structural changes within the Research Office. We plan to sort through each folder and subfolder within the drive, purge outdated and unnecessary documents, and reorganize current folders and resources.

ii. **Continue GGSE RO Staff Professional Development**

During the next year, the GGSE RO Analyst will participate in the Leadership Development Program at UCSB and the GGSE RO Assistant will attend trainings/workshops, as appropriate.

VIII. TRANSITION TO THE GEVIRTZ RESEARCH INSTITUTE (GRI)

The Research Office is currently transitioning to the Gevirtz Research Institute (GRI) under the direction of Dean Jane Close Conoley who is serving as Interim Director of the GRI. In addition to the current RO staff, Assistant Dean Arlis Markel, and Jane Ready will participate in this transition process and the continued operation of the GRI. Primary goals for the GRI Team include developing a mission statement and organizational chart, creating a Council of PIs to advise the GRI Director, transitioning the current RO website to the GRI website (while also continuing to add new resources), and clearly communicating information about the GRI services to the GGSE community.

IX. CONCLUSION

This Year End Report highlights the increased activity of the GGSE RO in 2009. We believe we have been successful with the majority of the initiatives we have implemented. This accomplishment is likely due to the continuation of our successful efforts from 2008 while also achieving many of the new goals we identified for the office for 2009. The GGSE RO survey results confirm our general success. We look forward to continuing our efforts in the coming year and the transition to the Gevirtz Research Institute. We trust that the continuation of activities geared to improve the grantsmanship of GGSE faculty, researchers, and students will ultimately result in an increase in awards and award dollars. In the meantime we will continue our efforts to meet the needs of the GGSE community with regard to proposal preparation, post-award administration, human subjects, and research development.

We look forward to receiving any comments and/or feedback you may have regarding this report and prioritization of future GGSE RO activities.

APPENDIX A: Tables

Table A.1: Lead PIs who Submitted Proposals through the GGSE RO, 2009*

Aldana**	Faverty	Koegel, L.	Rumberger
Bazerman	Felix	Koegel, R.	Singer
Bianchini	Furlong	Kyratzis	Smith
Blau	Gillispie**	Lim**	Wang
Brenner	Harlow	Marin	Weissglass
Conoley, J.	Hudley	Morrison	Yeager
Cosden	Israel	Nylund-Gibson	Yun
Dowdy	Jones**	Okamoto	
Duran	Kia-Keating, M.	Quirk	

*Of the 34 PI's submitting proposals, 25 are GGSE Faculty, 4 are Graduate Students, 4 are Researchers, and 1 is an Academic Coordinator.

**Indicates Extramural Dissertation Proposal.

Source: UCSB OR Orbit reports.

Table A.2: Proposals, by Sponsor Type in Dollars, 2009

Federal	State	UC	Foundations	Corporations	Other*
196,893		15,000	25,000		46,000
900,000		11,000	25,000		4,000
207,439		28,000	189,515		5,000
151,500		61,864	27,644		50,000
250,000		8,000	35,000		50,000
1,536,800		58,289	30,000		49,999
889,546		25,000	70,000		19,911
71,952		5,000	75,000		50,997
599,999		14,635	350,000		50,000
643,748		12,500	1,112		21,102
151,079		20,000			475,019
871,786		213,750			34,746
5,000,000		20,000			33,281
987,685		25,000			20,000
3,500,000		12,080			150,320
561,213		1,210			135,000
374,172		17,000			300,000
					103,134
					54,285
					20,000
					35,000
					67,103
					341,375
					39,213
					313,779
					262,874
					75,000
Total	Total	Total	Total	Total	Total
\$16,893,812		\$548,328	\$828,271		\$2,807,138
80.15%		2.60%	3.92%		13.32%

*Other includes: National Writing Project, Santa Barbara County, Lompoc Valley Healthcare Organization, Cottage Hospital, The Society for Personality Assessment, Tri-Counties Regional Center, Autism Speaks, AIR, and other educational institutions.

Source: UCSB OR Orbit reports.

Table A.3: Lead PIs who Received Awards through the GGSE RO, 2009*

Blau
 Brenner
 Conoley, J.
 Cosden
 Dowdy
 Duran
 Felix
 Furlong
 Harlow
 Koegel, R.
 Lim**
 Morrison
 Rumberger
 Singer
 Smith
 Wang
 Weissglass
 Yeager

* Of the 18 PIs receiving awards, 15 are GGSE Faculty, 2 are Researchers, and 1 is a Graduate Student.

** Indicates Extramural Dissertation Award

Source: UCSB OR Orbit reports.

Table A.4: Awards, by Sponsor Type in Dollars, 2009

Federal	State	UC	Foundations	Corporations	Other*
900,000		82,510	27,664		46,000
75,750		28,000	46,418		53,491
198,160		15,000	75,000		55,000
		8,000	30,000		50,000
		5,000	70,000		50,000
		213,750	35,000		34,746
		1,210	1,112		33,281
		26,000			21,102
		124,010			20,000
		18,000			150,320
					54,285
					20,000
					61,538
					67,103
					50,000
					65,000
					65,000
Total	Total	Total	Total	Total	Total
\$1,173,910		\$521,480	\$285,194		\$896,866
40.80%		18.12%	9.91%		31.17%

*Other includes: National Writing Project, Santa Barbara County, Lompoc Valley Healthcare Organization, AIR, Tri-Counties Regional Center, and other educational institutions.

Source: UCSB OR Orbit reports.

APPENDIX B: Writing Workshop Evaluations

“Writing Successful Grant Proposals (Part 1)” Workshop Feedback (n=15)

October 22, 2008

Workshop conducted by: Karen Lunsford

Please rate the relevance of session to my professional needs (1=excellent, 2=good, 3=fair, 4=poor)

Thirteen people rated 1

Two people rated 2

Please rate the amount of material covered in the time available (1=excellent, 2=good, 3=fair, 4=poor)

Thirteen people rated 1

One person rated 2

One person rated 3

Please rate the visual aids and handouts (1=excellent, 2=good, 3=fair, 4=poor)

Fourteen people rated 1

One person rated 2

Please rate the overall workshop (1=excellent, 2=good, 3=fair, 4=poor)

Twelve people rated 1

Two people rated 2

One person rated 3

What material did you find useful?

- The easy to follow, logical, sequence of the handouts
- Handout, Hands on work, Group work
- It was very interesting to see how the groups interpreted the examples
- All aspects
- The handouts are good-no changes
- Graphical Organizer of Intros-pg 2 of packet
- I appreciated all of the info in the workshop, and the blue paper [list of writing books available] was also helpful!
- Both the “Introduction to Introductions” and the writing books available
- The handouts and examples were very helpful
- Handout
- The handouts-we love models to look at and experiment with.
- Yes, but I wish there was more info in addition to introductions

What material did you not find useful?

- Guides to abstracts/intros and conclusions and examples

Suggestions for improvement?

- Have more info in addition to introductions
- Maybe use more relevant examples
- At least touch on the middle of the grant/the “meat” of it is the hardest part.

Comments:

- Can't wait for the next part. Will we be able to talk about all the necessary parts of the proposal in just one more session?
- Loved the across department involvement
- Thanks!
- Think it was great

Ideas for future workshops:

- Looking at funding agencies and see what they want in proposals
- Budget narrative
- Working with budget admin here before and after grant is received

Writing Successful Grant Proposals (Part 2)
Workshop Feedback (n=11)

October 29, 2008

Workshop conducted by: Karen Lunsford

Please rate the relevance of session to my professional needs (1=excellent, 2=good, 3=fair, 4=poor)

Eight people rated 1
One person rated 1.5
Two people rated 2

Please rate the amount of material covered in the time available (1=excellent, 2=good, 3=fair, 4=poor)

Seven people rated 1
One person rated 1.5
Three people rated 2

Please rate the visual aids and handouts (1=excellent, 2=good, 3=fair, 4=poor)

Five people rated 1
One person rated 1.5
Three people rated 2
One person rated N/A

Please rate the overall workshop (1=excellent, 2=good, 3=fair, 4=poor)

Eight people rated 1
One person rated 1.5
Two people rated 2

What material did you find useful?

- The feedback aspect of this workshop was fabulous!
- The handout packet that was distributed by Karen was helpful
- Having to apply to each category (prelude, etc) learning the pitfalls for each category
- Discussion with others about my proposal
- My colleagues' abstracts and the salmon colored paper [list of proposal writing resources]
- Getting feedback on writing
- The small group work and the "floating" around was very helpful
- Working in groups

What material did you not find useful?

- None

Suggestions for improvement?

- Regular meetings and continue collaborating and helping each other.

Comments:

- I liked the opportunity to provide and receive feedback from others
- I really like the fact that we get to look at other people's work

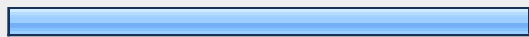
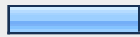
Ideas for future workshops:


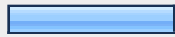
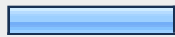


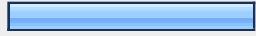
- The other sections of paper/grants. E.g. writing up methods, findings
- Let's move beyond intros!
- Grad students finding opportunities
- I would like to look at one or two exemplary proposals as a group
- I would really like standard feedback on grant- both for feedback and structure to keep me writing. Also I look forward to seeing examples
- Help with developing a budget sheet, where the money is spent and how likely is it to be approved... anything spreadsheet/budget development oriented
- It would also be nice to have faculty share their expertise (not just research) through a series of workshops (Prof. Dev.)-V. Similar to courses they teach (e.g. Writing for publishing, statistics) and often some kind of course credit for the workshop leader
- Teach your writing course for us faculty, especially for those of us in math/science who spent most of our lives doing problem sets, not writing paper after paper.
- Please have workshops on the rest of the proposals-writing process (budget justification....)

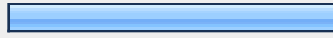
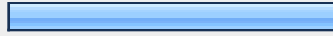
APPENDIX C: GGSE RO Survey

GGSE Reseach Office Survey

1. Which of the following services or resources offered by the GGSE's RO do you find useful?				
	Useful	Not Useful	No Basis	Response Count
Circulation of funding notices on the RO listserv	92.5% (37)	0.0% (0)	7.5% (3)	40
Circulation of events and workshops on the RO listserv	75.0% (30)	10.0% (4)	15.0% (6)	40
Individual/personalized funding searches	55.0% (22)	7.5% (3)	37.5% (15)	40
Funding opportunities listed on the RO website	60.0% (24)	12.5% (5)	27.5% (11)	40
Proposal writing resources listed on the RO website	42.5% (17)	15.0% (6)	42.5% (17)	40
"Potential Collaboration" meetings	57.5% (23)	22.5% (9)	20.0% (8)	40
Successful Proposals library	47.5% (19)	12.5% (5)	40.0% (16)	40
Writing Resource library	32.5% (13)	10.0% (4)	57.5% (23)	40
If you wish, please provide feedback regarding how these services can be improved.				8
				answered question
				40
				skipped question
				0

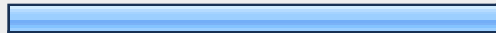
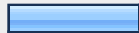

2. Have you submitted a proposal through the GGSE's RO in the past two years?			
		Response Percent	Response Count
Yes		80.0%	32
No		20.0%	8
			answered question
			40
			skipped question
			0


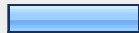
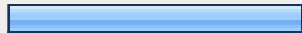
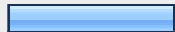
3. I have not submitted a proposal through the GGSE's RO in the past two years because: (Mark all that apply)			
		Response Percent	Response Count
My research/work does not require extramural funding.		12.5%	1
I currently have sufficient funding to support my research/work.		25.0%	2
I have used other administrative offices at UCSB to submit my proposals.		25.0%	2
The GGSE's RO has not provided me with enough support to submit a proposal.		12.5%	1
I don't have time.		12.5%	1
None of the above		37.5%	3
If you wish, expand on or clarify any of your responses to this question.			2
<i>answered question</i>			8
<i>skipped question</i>			32





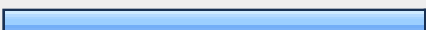
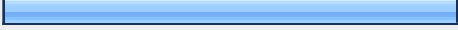



4. Have you had an award administered by the GGSE's RO in the past two years?			
		Response Percent	Response Count
Yes		50.0%	20
No		50.0%	20
<i>answered question</i>			40
<i>skipped question</i>			0

5. Which of the following services provided by the GGSE's RO do you find useful in administering your award?				
	Useful	Not Useful	No Basis	Response Count
Periodic financial recaps of award account(s)	90.0% (18)	5.0% (1)	5.0% (1)	20
Email reminders of award reporting deadlines (e.g., progress reports, final reports, etc.)	100.0% (20)	0.0% (0)	0.0% (0)	20
3-month-prior-to-award-closeout notices	95.0% (19)	5.0% (1)	0.0% (0)	20
Are there any other services that you have found useful?				6
<i>answered question</i>				20
<i>skipped question</i>				20

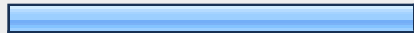
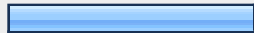
6. How would you prefer to receive your award account recap(s)? (Mark one)			
		Response Percent	Response Count
Hard copy via campus mail	<input type="checkbox"/>	5.0%	1
Electronically via email	<input checked="" type="checkbox"/>	80.0%	16
No preference	<input type="checkbox"/>	15.0%	3
<i>answered question</i>			20
<i>skipped question</i>			20


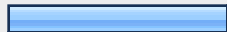
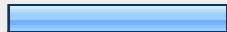
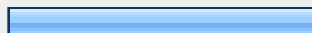
7. How often would you prefer to receive recaps for your award account(s)? (Mark one)			
		Response Percent	Response Count
Bi-Monthly		75.0%	15
Quarterly		20.0%	4
Bi-Annually		5.0%	1
Only when I request them		0.0%	0
No Preference		0.0%	0
		answered question	20
		skipped question	20

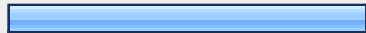
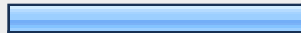
8. Do you feel the award account recaps that you receive contain: (Mark one)			
		Response Percent	Response Count
Too much detail		10.0%	2
Too little detail		20.0%	4
The correct amount of detail		45.0%	9
Don't Know/No Basis		25.0%	5
		answered question	20
		skipped question	20

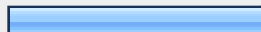

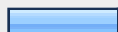

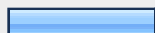

9. I use the award account recaps to: (Mark all that apply)			
		Response Percent	Response Count
...review the overall balance on my account(s).		85.0%	17
...review the balances of each subcategory (e.g., salaries, supplies, travel) within the account (s).		75.0%	15
...review the balances of each line item within the account(s).		50.0%	10
...review employment appointments.		60.0%	12
...review projected salaries.		65.0%	13
...plan future purchases.		70.0%	14
...plan future employment appointments.		75.0%	15
I have received recaps, but I do not review them.		0.0%	0
I have never received recaps.		10.0%	2
Other (please specify):		20.0%	4
		answered question	20
		skipped question	20

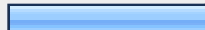
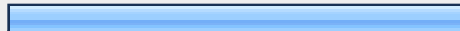
10. If you wish, please provide additional feedback regarding the GGSE RO's award administration services.		
		Response Count
		2
		answered question
		2
		skipped question
		38

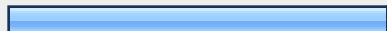
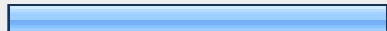

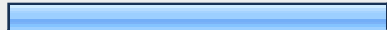

11. Have you attended any of the CORE (Conversations on Research in Education) Lunch Series events?			
		Response Percent	Response Count
Yes		62.5%	25
No		37.5%	15
Please offer feedback to improve CORE and/or ideas for future events.			11
answered question			40
skipped question			0



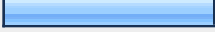
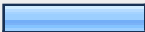
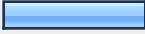

12. I have not attended any of the CORE (Conversations on Research in Education) Lunch Series events because: (Mark all that apply)			
		Response Percent	Response Count
The topics have not been of interest to me.		13.3%	2
The time has conflicted with my schedule.		33.3%	5
I have not heard of CORE.		33.3%	5
I don't have time.		46.7%	7
Other (please specify):		0.0%	0
answered question			15
skipped question			25

13. Have you attended any of the “Potential Collaboration” meetings coordinated by the GGSE’s RO?			
		Response Percent	Response Count
Yes		55.0%	22
No		45.0%	18
Please offer feedback to improve "Potential Collaboration" meetings and/or ideas for future meetings.			6
answered question			40
skipped question			0

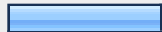
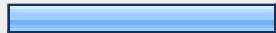
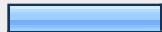


14. I have not attended any of the “Potential Collaboration” meetings because: (Mark all that apply)			
		Response Percent	Response Count
The topics have not been of interest to me.		38.9%	7
I have not been invited to participate in a “Potential Collaboration” meeting.		11.1%	2
Meeting times have conflicted with my schedule.		16.7%	3
I have not heard of “Potential Collaboration” meetings.		22.2%	4
I don't have time.		22.2%	4
Other (please specify):		5.6%	1
answered question			18
skipped question			22

15. Did you attend the Proposal Writing Workshops (held October 22 & 29, 2008)?			
		Response Percent	Response Count
Yes		30.0%	12
No		70.0%	28
		<i>answered question</i>	40
		<i>skipped question</i>	0

16. At the Proposal Writing Workshops: (Mark all that apply)			
		Response Percent	Response Count
...I acquired new knowledge and skills to help with future proposal writing.		58.3%	7
...the knowledge and skills I acquired have already been put to use on a proposal.		58.3%	7
...I learned nothing new.		8.3%	1
I would be interested in attending additional proposal writing workshops.		58.3%	7
Other (please specify):		16.7%	2
		<i>answered question</i>	12
		<i>skipped question</i>	28

17. I did not attend the Proposal Writing Workshops because: (Mark all that apply)			
		Response Percent	Response Count
...I do not write proposals.		0.0%	0
...the workshop times conflicted with my schedule.		35.7%	10
...I did not hear about these workshops.		10.7%	3
...I am already a successful proposal writer.		32.1%	9
...I don't have time.		21.4%	6
I would be interested in attending future proposal writing workshops.		21.4%	6
Other (please specify):		10.7%	3
		<i>answered question</i>	28
		<i>skipped question</i>	12


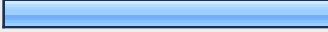
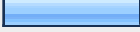
18. Please rank the top 5 services or resources you would like to see added by the GGSE's RO. (Mark only 1 per column)							
	1	2	3	4	5	Rating Average	Response Count
Help preparing NSF "Current & Pending Support" form	18.2% (2)	18.2% (2)	27.3% (3)	0.0% (0)	36.4% (4)	3.18	11
Help preparing budget justifications	20.0% (5)	24.0% (6)	16.0% (4)	20.0% (5)	20.0% (5)	2.96	25
Help preparing bio-sketches (for proposals)	11.1% (1)	0.0% (0)	33.3% (3)	55.6% (5)	0.0% (0)	3.33	9
Help preparing Letters of Intent/Inquiry	8.3% (1)	16.7% (2)	8.3% (1)	25.0% (3)	41.7% (5)	3.75	12
Help preparing templates for, and acquiring signatures on, letters of support	0.0% (0)	17.6% (3)	35.3% (6)	29.4% (5)	17.6% (3)	3.47	17
Stock text to include in proposals (e.g., info about the GGSE, etc.)	4.3% (1)	26.1% (6)	30.4% (7)	8.7% (2)	30.4% (7)	3.35	23
Proposal writing	50.0% (9)	22.2% (4)	5.6% (1)	16.7% (3)	5.6% (1)	2.06	18
Proofreading/copy editing of proposals	11.5% (3)	34.6% (9)	11.5% (3)	23.1% (6)	19.2% (5)	3.04	26
Graduate student support for proposal preparation	47.6% (10)	9.5% (2)	14.3% (3)	14.3% (3)	14.3% (3)	2.38	21
Presentations by funding agencies	33.3% (4)	8.3% (1)	33.3% (4)	25.0% (3)	0.0% (0)	2.50	12
Ongoing writing workshops for proposals in progress	28.6% (2)	28.6% (2)	28.6% (2)	0.0% (0)	14.3% (1)	2.43	7
Additional ideas regarding new services or resources:							4
answered question							38
skipped question							2

19. How satisfied are you with the level of service currently offered by the GGSE's RO?			
		Response Percent	Response Count
Very Satisfied		23.1%	9
Satisfied		41.0%	16
Neutral		23.1%	9
Dissatisfied		5.1%	2
Very Dissatisfied		0.0%	0
Don't Know/No Basis		7.7%	3
If you wish, please provide additional feedback regarding your experiences with the GGSE RO over the past two years.			12
answered question			39
skipped question			1

20. Which of the following services or resources currently offered by UCSB's campus-wide Office of Research do you find useful?

	Useful	Not Useful	No Basis	Response Count
UCSB Office of Research website	48.7% (19)	23.1% (9)	28.2% (11)	39
Office of Research bimonthly newsletter, "Funding Resources"	59.0% (23)	17.9% (7)	23.1% (9)	39
Meetings with Office of Research staff to discuss funding opportunities	46.2% (18)	12.8% (5)	41.0% (16)	39
Model successful proposals obtained by the Office of Research for my review	35.9% (14)	10.3% (4)	53.8% (21)	39
Feedback on a proposal in progress	41.0% (16)	10.3% (4)	48.7% (19)	39
Events sponsored by the Office of Research	46.2% (18)	12.8% (5)	41.0% (16)	39
			Additional comments:	4
			<i>answered question</i>	39
			<i>skipped question</i>	1

21. So that we can better understand the needs of various sectors of the GGSE community, please complete the following -- I am a:

		Response Percent	Response Count
Pre-tenure Faculty Senate member (Tenure-line faculty or Lecturer PSOE)		29.4%	10
Tenured Faculty Senate member (Tenure-line faculty or Lecturer SOE)		50.0%	17
Non-Senate Faculty member (Researcher, Lecturer, or Academic Coordinator)		20.6%	7
If you are willing to discuss your responses with the GGSE's Research Office further, please provide your name (optional):			6
		<i>answered question</i>	34
		<i>skipped question</i>	6

Open-ended Responses to GGSE RO Survey

Q1: Which of the following services or resources offered by the GGSE's RO do you find useful?

If you wish, please provide feedback regarding how these services can be improved.

1. no basis means I either didn't know that this existed or haven't used it
2. Provide more time to use them and to write proposals.
3. I have tried to look at successful proposals for the agencies to which I apply for funding, but none were available.
4. As someone new to grant writing, being able to see other proposals has been very valuable. Also having someone to edit and proof work is helpful too.
5. I marked 2 things that have potential as "not useful" only because they aren't being conducted in an effective way: Individual/personalized funding searches and "potential collaboration" meetings. Perhaps one way to make them both more effective is to combine them. For example, potential collaboration meetings should include more personalized invitations (e.g., the RO has identified YOU as someone who might be interested in this collaboration) and include a personalized funding search related to the topic of collaboration, even if there is already a specific RFA on the table it would help to have several options of funding sources that the collaborative group could discuss and pursue. Finally the Successful Proposals library has a lot of potential to be a great resource but we need to expand it beyond GGSE and get some successful proposals from Psychology and other Social Science departments that are willing to share. It is just too limited at this time, especially in terms of DHHS grants.
6. I was not aware that the individual funding searches were still available. better advertisement of the services would help.
7. I'm newly affiliated with the GGSE so am just starting to familiarize myself with the RO.
8. I think that a monthly report on grants applied for and received would be helpful for research and collaboration planning for new and continuing grad students looking for apprentice projects

Q3: I have not submitted a proposal through the GGSE's RO in the past two years because: (Mark all that apply)

If you wish, expand on or clarify any of your responses to this question.

1. "I don't have time" = "I am doing the work for which I already have garnered funding"
2. I am a first year PhD student, and I have not had the opportunity yet to write a proposal.

Open-ended Responses to GGSE RO Survey

Q5: Which of the following services provided by the GGSE's RO do you find useful in administering your award?

Are there any other services that you have found useful?

1. budget projections
2. Help with budget development, help with communication with UCSB's Office of research, help translating email about grants and subcontracts into language I can understand.
3. I find the financial recaps confusing to understand in their current layout. There is too much detail in some parts, and too little in other. I appreciate getting them, but wish they could be simpler, and provided more regularly.
4. financial recaps, etc. could be made more user-friendly
5. It is absolutely imperative to have these grant management resources - the financial recaps, help with the budget, email reminders, etc are very much appreciated.
6. However, I don't seem to receive financial recaps as often as we used to, so it's hard to tell how much funding I have left at times.

Q10: If you wish, please provide additional feedback regarding the GGSE RO's award administration services.

1. I would like monthly recaps.
2. Lately (last 2 years or so) my recaps have not been easy to interpret. The old ones, done by Mike O'Neill, and by Ned were much easier to use.

Open-ended Responses to GGSE RO Survey

Q11: Have you attended any of the CORE (Conversations on Research in Education) Lunch Series events?

Please offer feedback to improve CORE and/or ideas for future events.

1. Really appreciate the concept, enjoy the chats.
2. too much paper. send an email reminder or two
3. They don't seem useful to me.
4. I look forward to attending CORE events if I can make room in my schedule - I see it as useful, but a lower priority than many of my other current obligations.
5. Many seem to offer very similar advice. I would really like an "action workshop" where we actually wrote pieces of a proposal or brought pieces of a proposal for critique/suggestions.
6. The material is not always helpful; more information on foundation funders would be good.
7. Maybe something on Survey Monkey use; Perhaps something more qualitative...
8. I did not know about its existence
9. Is there an agenda for each of these events?
10. I have found these to be very helpful.
11. These are awesome opportunities, sometimes the description of what will be covered is vague but the availability is great

Open-ended Responses to GGSE RO Survey

Q13: Have you attended any of the "Potential Collaboration" meetings coordinated by the GGSE's RO?

Please offer feedback to improve "Potential Collaboration" meetings and/or ideas for future meetings.

1. Another very productive idea.
2. Sometimes they are too big. Many times no one volunteers to take the lead.
3. The one I attended was not very well organized and there was not a clear structure for people to be involved. There was one person identified as the "key" person and it seemed that person knew exactly what he/she wanted to do and that it was the rest of our choice to be on board or not-- rather than us all coming together.
4. See initial comments about improvements for potential collaboration meetings. Need to identify people and multiple potential funding sources, along with a timeline and more structure so that collaborations get off the ground.
5. Not much assistance offered by RO.
6. Clearer goal setting and agenda setting at the meetings...someone should be a designated facilitator going into the meeting and have specific goals to achieve

Q18: Please rank the top 5 services or resources you would like to see added by the GGSE's RO. (Mark only 1 per column)

Additional ideas regarding new services or resources:

1. Stats consult
2. Even better oversight and reminders of contract and grant deadlines and obligations to make sure we keep up - help keep PIs and UCSB in compliance. Graduate student support would be excellent - provides funding and helps get future funding!
3. Rather than trying to reinvent the wheel, I think that looking to highly successful programs makes sense because they have already well-crafted ways of getting grants. For example, some very well funded programs have senior faculty act as internal "mock" reviewers for grant proposals, and they actually assign 3 faculty for each proposal being submitted who conduct a "mock" review panel and both score and give feedback to the PI prior to real submission (we could even work with faculty from a couple of related disciplines, including psychology, so that we have a nice core group of senior faculty with strong grant histories and experience as reviewers to draw from for the mock panels). Also, many programs assign 3-4 mentors (e.g., 2 from their own department and 2 from outside departments relevant to the individual's research interests) to junior faculty with a structured system to assure that they obtain grant funding, first as a co-investigator on senior faculty grants, and then as an independent PI. This process makes sense and clearly it works. With more formal structures in place through mentorship, many of the above services/resources would be ideally provided from senior to junior faculty (rather than from the RO) and could still be housed in the RO as a centralized place. (These are just a few ideas but I am sure there are other ideas that we could get from other highly successful models.)
4. Perhaps templates for budget justification or biosketches

Open-ended Responses to GGSE RO Survey

Q19: How satisfied are you with the level of service currently offered by the GGSE's RO?

If you wish, please provide additional feedback regarding your experiences with the GGSE RO over the past two years.

1. It has not become clear what specific services are available. I anticipate this survey will assist to clarify the RO role.
2. The RO staff sentiments are not typically solution focused, it would be most helpful in identifying problems to also propose creative solutions.
3. Veryk satisfied with proposal preparation. Not satisfied with budget recaps/projections
4. More access to a proposal writer -- like Elizabeth Lee -- would be wonderful. I have found the GGSE RO staff to be timely in their responses and thorough in their work.
5. I sincerely appreciate all the help Heather/Kelly and Terri/Arlis have given with all the details of all my little grants and contracts - very helpful! On another topic - I thought it would be useful talking to the development folks about my topic of interest - I know issues of school violence, juvenile delinquency, and gangs are hot topics in our community and have been able to get connected with people on my own. I thought it would be great to get connected with potential funders to explore exciting partnerships - as I do not have strong skills in getting people to give me money for research (I tend to over extend myself with little support). I feel that though I expressed my interests and ideas that there was no follow up with any potential funders - maybe my work is not a priority since I am not a tenure-track faculty member, but I was very disappointed not to have any follow-up.
6. Everything I've requested help with has been done competently and quickly. Sometimes I wish that the staff had more experience with certain funding agencies to help me understand what they want.
7. This is a tough question to answer. I think the RO has provided a lot of wonderful services in the past 2 years. However, given that I think there are some substantial areas of improvement (see previous comments), I can only say "neutral" based on the fact that I would like to see some change in the overall structure of things.
8. Heather and Kelly are very supportive and friendly to help me go through grant-related procedures.
9. The assistance is not always adequate, relative to the level of assistance available with a full, professional staff. Help with Federal forms is especially limited. Current staff are not always as knowledgeable as staff in the past.
10. Heather and Kelly do an amazing job helping to prepare and manage grants. The additional thing that I would find helpful is some guidance navigating through the challenges of dealing with federal funding agencies (who do you talk to about what, what content should be included in reports, the nuances of relationships with program officers, etc.).
11. They have always been helpful and provided me with what I've needed, even at the last minute.
12. The RO administrators are helpful and warm, a great combination!

Open-ended Responses to GGSE RO Survey

Q20: Which of the following services or resources currently offered by UCSB's campus-wide Office of Research do you find useful?

Additional comments:

1. Meetings with OR Staff are sometimes useful...sometimes not
2. I find the Research Office very useful. Anything I have checked "No basis" on is only because I have not yet had the chance to take advantages of these services. Although this survey has reminded me of some of them (e.g., library of successful proposals) that I now intend to access in the near future.
3. OR has had very little to offer in terms of help with contracts - they usually don't provide much feedback.
4. It seems like we could join forces with ISBER and co-sponsor more events. We are often talking about the same things.