



CONTRACTS & GRANTS OFFICE

SANTA BARBARA, CALIFORNIA 93106-9490

January 21, 2015

Re: Uniform Guidance – important information for PIs  
To: All-Faculty Listserv

Good morning and happy new year!

Effective December 26, 2014, the federal government has made some big changes in regulations and policies that relate to grants. The goal of this reform is to increase accountability and transparency while reducing administrative burden on entities receiving awards. The new guidance is referred to as Uniform Guidance (UG) and supersedes many federal circulars that we relied on in the past. Most of the changes will be built into central campus' and our processes but there are a few items that relate directly to PIs that I wanted to share with you:

- 1) Note that the changes are effective for new awards/installments received on or after 12/26/14.
- 2) "Favorable developments which enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned" must be reported as soon as they become known. These should be reported directly to your Program Officer.
- 3) You have an ongoing obligation throughout the term of an award to report to OR, as soon as you become aware, whether you or any other employee, student or subcontractor participating in the project becomes debarred or suspended, or is convicted, indicted, or has a civil judgment entered for any violations of federal criminal law involving fraud, bribery or gratuity violations potentially affecting the award.
- 4) Subcontracts are being monitored more closely so there are some additional forms required for processing these at proposal stage and thorough the life of subcontracts. Please keep this in mind and notify us as early as possible when including subcontracts in your proposals.
- 5) Next time you submit a proposal, we will ask you to review what you are signing more carefully to ensure that you are fully aware of what you are certifying when you sign.

Please let me know if you have any questions and if you are interested in reading more about Uniform Guidance, see: [www.bfs.ucsb.edu/omb/omb-uniform-guidance](http://www.bfs.ucsb.edu/omb/omb-uniform-guidance)

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